

Trader & Investment Operations Specialist

Job description

Come join one of the fastest growing RIAs in the industry. At Wedmont we are changing the way successful families receive financial advice. Wedmont's flat fee model and direct indexing capabilities are disrupting the wealth management industry, and we are hiring a trader and investment operations professional to help lead our investment/trading operations. If you are a self-starter, with analytical skills and a detail-oriented work process, we want to meet you.

This is an onsite position in our West Chester, PA office with opportunity for significant career progression. Competitive compensation and benefits.

RESPONSIBILITIES:

- Work closely with firm leadership to build a best in class investment operations function
- Implement new client portfolios and maintain existing client portfolios
- Help manage direct indexed portfolios to provide significant tax benefits to the firm's clients
- Identify tax-efficient portfolio rebalancing opportunities
- Prepare agenda and run weekly investment operations meeting
- Meet and exceed compliance reporting requirements
- Execute all trades
- Maintain updated knowledge of regulations, best practices, and financial products

INDIVIDUAL CHARACTERISTICS:

Success in this role requires the candidate to have the following characteristics:

- Compliance Driven Mindset
- Insistence upon adherence to standards and procedures
- Ownership mentality
- Understanding and appreciation for the merits of low-cost index-based investing
- Ability to process qualitative and quantitative data
- Passionate about investment management and the markets - especially index investing
- Ability and desire to help develop the firm's future investment operations strategy

REQUIRED EXPERIENCE AND QUALIFICATION

- Three to seven years of relevant financial services experience
- Familiarity with direct indexing and tax loss harvesting
- Experience with Charles Schwab, Orion, and Northfield is a plus
- Clean compliance record and background check is a must
- Bachelor's degree - finance or business preferred, CFA, CIMA, MBA (or candidate) a plus
- Series 7, SIE & 66 licenses (or 63 and 65)

COMPENSATION

- Competitive compensation commensurate with experience
- Benefits include health, generous vacation, and 401(k)

Equal Employment Opportunity

It is the policy of Wedmont Private Capital, LLC to ensure equal employment opportunity (EEO) for all employees and applicants for employment without regard to race, color, religion, sex, pregnancy (including childbirth, lactation, or related conditions), national origin or ancestry, age, disability, veteran status, uniformed servicemember status, sexual orientation, gender identity, status as a parent, genetic information (including testing and characteristics), or any other characteristic protected by applicable federal, state, or local law. It is Wedmont's policy to comply with applicable laws concerning the employment of persons with disabilities, including reasonable accommodation for applicants and employees with disabilities.